

--SLI Abbreviated Instructions --

1. USPPI Name, Address & Contact:		3. USPPI Reference:		5. Forwarding Agent Name, Address, & Contact:					
1a. Name		3a. Name		5a. Name					
1b. Address 1		3b. Address 1		5b. Address 1					
1c. Address 2		3c. Address 2		5c. Address 2					
1d. City	1e. State	3d. City	3e. State	5d. City	5e. State				
1f. Postal Code	1g. Country	3f. Postal Code	3g. Country	5f. Postal Code	5g. Country				
1h. Export Item (HS) #		3h. Export Item (HS) #		5h. Export Item (HS) #					
1i. Export Date		3i. Export Date		5i. Export Date					
2. USPPI EIN (IRS) Number:		4. Freight Forwarder Reference:		6. Freight Forwarder EIN (IRS):					
7. Ultimate Consignor Name, Address, and Contact:		8. Ultimate Consignor Type:		10. Intermediate Consignor Name, Address, and Contact:					
7a. Name		8a. <i>Subsidiary</i>		10a. Name					
7b. Address 1		8b. Direct Consignor		10b. Address 1					
7c. Address 2		8c. Government Entity		10c. Address 2					
7d. City	7e. State	8d. Retailer		10d. City	10e. State				
7f. Postal Code	7g. Country	8e. Other/Unknown		10f. Postal Code	10g. Country				
7h. Export Item (HS) #		9. Related Party Indicator:		10h. Export Item (HS) #					
7i. Export Date		Related		10i. Export Date					
11. Routed Transaction:		12. State of Origin:		13. In-Dund Code:					
14. Country of Ultimate Origin:		15. Hazardous Material:		16. Entry Number:					
17. Service Requested:		18. Consolidated:		19. FTZ Identifier:					
Air		Consolidated		20. TID / Carrier:					
Ground		Direct		Yes No					
INSTRUCTIONS TO FORWARDER:									
28. Description or Part No. (FPI)	21. Schedule D / HTSUS Number and Commercial Commodity Description (For Vehicles: VIN, Year, Make, Model and Vehicle Title Number are required)	22. Quantity in Schedule D / HTS Units	23. DDTC Quantity and DDTC Unit of Measure	24. Shipping Weight (in kilos)	25. ECCN, EAR33 or USML Category No.	26. SME Indicator (Yes or No) (Applies to DDTC only)	27. Export License Number, License Exemption Symbol, DDTC Exemption Number, DDTC RCM No. or HLR	28. Value of the Part of Export (US Dollars)	29. License Value by Item (if applicable) (US Dollars)
30. DDTC Applicant Registration Number:		31. Eligible Party Certification:		Yes No					
32. Check here if there are any remaining non-licensable Schedule D / HTS Numbers that are valued \$2500.00 or less and that do not otherwise require AES filing.									
33. Check here if the USPPI authorizes the above named Forwarder to act on its behalf and to file on its behalf for purposes of preparing and filing the Electronic Export Information (EEI) in accordance with the laws and regulations of the United States.									
34. I certify that the statements made and all information contained herein are true and correct. I understand that civil and criminal penalties, including fines and imprisonment, may be imposed for making false and fraudulent statements herein. Failing to provide the requested information or for violation of U.S. laws on exportation (19 U.S.C. Sec. 305; 22 U.S.C. Sec. 484; 18 U.S.C. Sec. 1884; 50 U.S.C. app. 2410).									
35. Printed Name of Duty Authorized Officer or Employer:				38. Title:					
36. Signature:				39. Date:					
37. USPPI E-mail Address:				40. USPPI Telephone No.:					
41. Check here to validate Electronic Signatures. Electronic signatures must be typed in all capital letters in Box 35 in order to be valid.									

This Form is to be completed by the United States Principal in Interest (USPPI) to provide data elements for reporting to the Automated Export System (AES) as required per the U.S. Foreign Trade Regulations (15 CFR 30). Abbreviated instructions are provided here to assist in the completion of each SLI box. USPPIs should refer to the current FTR for the full reporting requirements and definitions.

BOX	Instruction
1	FTR 15 CFR 30.3: The USPPI is the person in the USA that receives the primary benefit, monetary or otherwise, of the export transaction. Generally, that person is the U.S. Seller, manufacturer, or order party. In general, the Freight Forwarder cannot be the USPPI. When a foreign entity is in the United States at the time the items are purchased or obtained for export, the foreign entity is the USPPI for filing purposes.

BOX	Instruction
1a-i	<p>Enter USPPI address and contact information to be used for documentation and/or billing. If this address is also being used for AES reporting, a P.O. Box is not allowed.</p> <p>If the cargo begins its journey to the port of export from a different address, please report that address in box number 4.</p>
2	<p>FTR 15 CFR 30.6(a)(1)(iii): USPPI identification number: The USPPI shall report its own IRS EIN. If the USPPI has only one EIN, report that EIN. If the USPPI has more than one EIN, report the EIN that the USPPI uses to report employee wages and withholdings, and not the EIN that is used to report only company earnings or receipts. If the USPPI does not have an EIN, the USPPI must obtain an EIN for reporting to the AES. Use of another company's or individual's EIN or other identification number is prohibited.</p>
3	<p>Enter your shipment internal control / reference number – the number that you want the forwarder to refer to if they have any questions.</p>
4	<p>If the USPPI does not own or lease the facility from which the cargo is beginning its journey to the port of export, please provide the company name of the facility.</p>
4a-i	<p>Enter the address and contact information where the cargo begins its journey to the port of export (if different from the USPPI address in box #2).</p> <p>Per FTR 15 CFR 30.6(a)(1)(ii): In all EEI filings, the USPPI shall report the address or location (no post office box number) from which the goods actually begin the journey to the port of export even if the USPPI does not own/lease the facility. For example, the EEI covering goods laden aboard a truck at a warehouse in Georgia for transport to Florida for loading onto a vessel for export to a foreign country shall show the address of the warehouse in Georgia. For shipments with multiple origins, report the address from which the commodity with the greatest value begins its export journey. If such information is not known, report the address in the state where the commodities are consolidated for export.</p>
5	<p>FTR 15 CFR 30.6(b)(1) (ii) and (iii): Report the name, address, and contact information of the authorized agent. The authorized agent is that person or entity in the United States that is authorized by the USPPI or the FPPI to prepare and file the EEI or the person or entity, if any, named on the export license. (See § 30.3 for details on the specific reporting responsibilities of authorized agents and Subpart B of this part for export control licensing requirements for authorized agents.)</p>
6	<p>Freight Forwarder identification number: The Freight Forwarder shall report its own IRS EIN. If the Freight Forwarder has only one EIN, report that EIN. If the Freight Forwarder has more than one EIN, report the EIN that the Freight Forwarder uses to report employee wages and withholdings, and not the EIN that is used to report only company earnings or receipts. If the Freight Forwarder does not have an EIN, the Freight Forwarder must obtain an EIN for reporting to the AES. Use of another company's or individual's EIN or other identification number is prohibited.</p>

BOX	Instruction
7	<p>FTR 15 CFR 30.6(a)(3): The ultimate consignee is the person, party, or designee that is located abroad and actually receives the export shipment. The ultimate consignee as known at the time of export shall be reported. For shipments requiring an export license, the ultimate consignee shall be the person so designated on the export license or authorized to be the ultimate consignee under the applicable license exemption in conformance with the EAR or ITAR, as applicable.</p> <p>For items subject to licensing under the ITAR, enter the name and address of the Foreign End User.</p>
8	<p>FTR 15 CFR 30.6(a)(28) - Provide the business function of the ultimate consignee that most often applies. If more than one type applies to the ultimate consignee, report the type that applies most often.</p>
8a	<p>FTR 30.6(a)(28) - Direct Consumer - a non-government institution, enterprise, or company that will consume or use the exported good as a consumable, for its own internal processes, as an input to the production of another good or as machinery or equipment that is part of a manufacturing process or a provision of services and will not resell or distribute the good.</p>
8b	<p>FTR 30.6(a)(28) - Government Entity - A government-owned or government-controlled agency, institution, enterprise or company.</p>
8c	<p>FTR 30.6(a)(28) - Reseller - A non-government reseller, retailer, wholesaler, distributor, distribution center or trading company.</p>
8d	<p>FTR 30.6(a)(28) - Other/Unknown - An entity that is not a Direct Consumer, Government Entity or Reseller, as defined above, or whose ultimate consignee type is not known at the time of export.</p>
9	<p>Check "Related" if you as the USPP or the ultimate consignee owns directly or indirectly 10 percent or more of the other party as stated in FTR 15 CFR 30.6(a)(10). Otherwise check "Non-Related".</p>
10	<p>FTR 15 CFR 30.6(b)(2): The name, address, and contact information of the intermediate consignee (if any) shall be reported. The intermediate consignee acts in a foreign country as an agent for the principal party in interest or the ultimate consignee for the purpose of effecting delivery of the export shipment to the ultimate consignee. The intermediate consignee is the person named as such on the export license or authorized to act as such under the applicable general license and in conformity with the EAR.</p>

BOX	Instruction
11	<p>Check "No" if the USPPI or their forwarder is controlling the movement of the cargo out of the U.S. Check "Yes" if the foreign buyer's forwarder is controlling the movement of the cargo out of the U.S.</p> <p>FTR 15 CFR 30.6(a)(24) The routed export transaction indicator: An indicator that identifies that the shipment is a routed export transaction as defined in § 30.3.</p> <p>FTR 15 CFR 30.3(e) : The Census Bureau recognizes "routed export transactions" as a subset of export transactions. A routed export transaction is a transaction in which the FPPI authorizes a U.S. agent to facilitate the export of items from the United States and to prepare and file EEI. See also the Export Administration Regulations (EAR) 15 CFR 758.3(b).</p>
12	<p>FTR 15 CFR 30.6(a)(4): The U.S. state of origin is the 2-character postal code for the state in which the goods begin their journey to the port of export. For example, a shipment covering goods laden aboard a truck at a warehouse in Georgia for transport to Florida for loading onto a vessel for export to a foreign country shall show Georgia as the state of origin. The U.S. state of origin may be different from the U.S. state where the goods were produced, mined, or grown. For shipments of multi-state origin, reported as a single shipment, report the U.S. state of the commodity with the greatest value. If such information is not known, report the state in which the commodities are consolidated for export.</p>
13	<p>Per 15 CFR 30.6(a)(22): The code indicating whether [or not] the shipment is being transported under bond.</p> <p>One of the following in-bond numbers will be reported. If your cargo is moving in bond, advise the type here.</p> <p>70 = not in bond;</p> <p>or select one of the following "in-bond" codes: 36 = warehouse withdrawal for immediate export ("IE"); 37 = warehouse withdrawal for transportation and exportation ("T&E"); 67 = IE from a Foreign Trade Zone (FTZ); Please also provide FTZ identifier in box #18. 68 = T&E from a Foreign Trade Zone (FTZ). Please also provide the FTZ Identifier in box #18.</p> <p>Note: The Entry Number (Box 17) is required for "in bonds".</p>
14	<p>FTR 15 CFR 30.6(a)(5): The country of ultimate destination is the country in which goods are to be consumed, further processed, stored, or manufactured, as known to the USPPI at the time of export. In the case of a Department of State license, the country of ultimate destination is the country specified with respect to the end user.</p>
15	<p>Select Yes or No. FTR 15 CFR 30.6(a)(21): The hazardous material indicator identifies whether the shipment is hazardous as defined by the Department of Transportation.</p>

BOX	Instruction
16	<p>FTR 15 CFR 30.6(b)(13): The entry number must be reported for goods that are entered in lieu of being transported under bond for which the importer of record is a foreign entity or, for re-exports of goods withdrawn from a FTZ for which a NAFTA deferred duty claim (entry type 08) could have been made, but that the importer elected to enter for consumption under CBP entry type 06. For goods imported into the United States for export to a third country of ultimate destination, where the importer of record on the entry is a foreign entity, the USPPI will be the authorized agent designated by the foreign importer for service of process. The USPPI, in this circumstance, is required to report the import entry number.</p>
17	<p>Select the service requested and whether Consolidated or Direct.</p>
18	<p>Per FTR 15 CFR 30.6(b)(3): If goods are removed from the FTZ and not entered for consumption, report the [7 Character] FTZ identifier. This is the unique identifier assigned by the Foreign Trade Zone Board that identifies the FTZ, subzone or site from which goods are withdrawn for export.</p> <ul style="list-style-type: none"> • First 3 positions: General Purpose Zone (3 numeric) • Next 2 positions: Sub-Zone (2 alpha numeric) • Last 2 positions: Site (2 alpha numeric) <p>If the General purpose zone only has two digits, precede it with a zero. If there is no sub-zone or site, use zeros. If the Sub-Zone or Site is only one letter or number, precede it with a zero. (Example: If the general purpose zone = 9, subzone = A and site = 5, you should report 0090A05 as the FTZ identifier.)</p>
19	<p>Check Yes or No.</p> <p>Note: The reporting exemptions for temporary export and imports (including Carnets) formerly allowed under 15 CFR 30.37(q) and(r) have been removed as of 4/5/14. Filing is required for transactions over \$2500.00 by Schedule B / HTS and for licensed transactions.</p>
	<p>Instructions To Forwarder: Customize this section to include your company specific requirements, such as Prepaid/Collect, Air/Ocean, Insurance, etc.</p>

BOX	Instruction
20	<p>FTR 15 CFR 30.6(a)(11): The domestic or foreign indicator indicates if the goods exported are of domestic or foreign origin. Report foreign goods separately from goods of domestic production even if the commodity classification number is the same.</p> <p>(i) Domestic. Exports of domestic goods include: Those commodities that are grown, produced, or manufactured (including commodities incorporating foreign components) in the United States, including goods exported from U.S. FTZs, Puerto Rico, or the U.S. Virgin Islands; and those articles of foreign origin that have been enhanced in value or changed from the form in which they were originally imported by further manufacture or processing in the United States, including goods exported from U.S. FTZs, Puerto Rico, or the U.S. Virgin Islands.</p> <p>(ii) Foreign. Exports of foreign goods include those commodities that are grown, produced, or manufactured in foreign countries that entered the United States including goods admitted to U.S. FTZs as imports and that, at the time of exportation, have undergone no change in form or condition or enhancement in value by further manufacture in the United States, in U.S. FTZs, in Puerto Rico, or in the U.S. Virgin Islands</p>
21	<p>Enter all of the 10 digit Schedule B or HTSUSA numbers that apply to your shipment here.</p> <p>Schedule B: FTR 15 CFR 30.1(c): The Statistical Classification of Domestic and Foreign Commodities exported from the United States. The Schedule B classification numbers are administered by the U.S. Census Bureau. To find a Schedule B number, please refer to the Schedule B Search Engine found at http://www.census.gov/foreign-trade/schedules/b/index.html. If additional assistance is needed, please call the Commodity Analysis Branch, Foreign Trade Division, Census Bureau on (800) 549-0595, option 2.</p> <p>Commercial Commodity Description: You should not copy the Schedule B description into this field. Per FTR 15 CFR 30.6(a)(13). Report the description of the goods shipped in English in sufficient detail to permit verification of the Schedule B or HTSUSA number. Clearly and fully state the name of the commodity in terms that can be identified or associated with the language used in Schedule B or HTSUSA (usually the commercial name of the commodity), and any and all characteristics of the commodity that distinguish it from commodities of the same name covered by other Schedule B or HTSUSA classifications. If the shipment requires a license, the description reported in the EEI shall conform to that shown on the license. If the shipment qualifies for a license exemption, the description shall be sufficient to ensure compliance with that license exemption. However, where the description on the license does not state all of the characteristics of the commodity that are needed to completely</p>

BOX	Instruction
22	<p>FTR 15 CFR 30.6(a)(15) Primary quantity: The quantity is the total number of units that correspond to the first unit of measure specified in the Schedule B or HTSUSA. Where the unit of measure is in terms of weight (grams, kilograms, metric tons, etc.), the quantity reflects the net weight, not including the weight of barrels, boxes, or other bulky coverings, and not including salt or pickle in the case of salted or pickled fish or meats. For a few commodities where “content grams” or “content kilograms” or some similar weight unit is specified in Schedule B or HTSUSA, the quantity may be less than the net weight. The quantity is reported as a whole unit only, without commas or decimals. If the quantity contains a fraction of a whole unit, round fractions of one-half unit or more up and fractions of less than one-half unit down to the nearest whole unit. (For example, where the unit for a given commodity is in terms of “tons,” a net quantity of 8.4 tons would be reported as 8 for the quantity. If the quantity is less than one unit, the quantity is 1.) Note: Some Schedule B / HTS numbers have a secondary quantity that must also be reported.</p>
23	<p>FTR 15 CFR 30.6(b)(15): (v) -DDTC Unit of Measure (UOM). This unit of measure is the UOM covering the article being shipped as described on the export authorization (license) or declared under an ITAR exemption.</p> <p>(vi)- DDTC quantity. This quantity is for the article being shipped. The quantity is the total number of units that corresponds to the DDTC UOM code.</p>
24	<p>FTR 15 CFR 30.6(a)(16): The shipping weight is the weight in kilograms, which includes the weight of the commodity, as well as the weight of normal packaging, such as boxes, crates, barrels, etc. The shipping weight is required for exports by air, vessel, rail, and truck, and required for exports of household goods transported by all methods. For containerized cargo in lift vans, cargo vans, or similar substantial outer containers, the weight of such containers is not included in the shipping weight. If the shipping weight is not available for each Schedule B or HTSUSA item included in one or more containers, the approximate shipping weight for each item is estimated and reported. The total of these estimated weights equals the actual shipping weight of the entire container or containers.</p>

BOX	Instruction
25	<p>Report the 5-character ECCN, EAR99 if your product is not on the Commerce Control List (CCL), or for products controlled under the International Traffic in Arms Regulations (ITAR), report the 2-digit U.S. Munitions List 2 category code.</p> <p>ECCN: FTR 15 CFR 30.6(b)(6) Export Control Classification Number (ECCN): The number used to identify items on the Commerce Control List (CCL), (15 CFR) Supplement No. 1 to Part 774 of the EAR. The ECCN consists of a set of digits and a letter.</p> <p>The CCL is also accessible on line at http://www.bis.doc.gov/index.php/regulations/export-administration-regulations-ear Guidance on how to use the CCL and determine your ECCN is provided by the Bureau of Industry and Security's (BIS) Office of Exporter Services in Washington, DC Headquarters at (202) 482-4811 and in their Western Regional Offices in California on (949) 660-0144 or (408) 998-8806.</p> <p>EAR99: Items that are not classified under an ECCN and that are not controlled by the Department of State are designated "EAR99". EAR99 items generally consist of low-technology consumer goods and do not require a license in many situations. However, if your proposed export of an EAR99 item is to an embargoed country, to an end-user of concern or in support of a prohibited end-use, you may be required to obtain a license if it is not subject to a General License.</p> <p>USML Category Number: As noted in FTR 15 CFR 30.6(b)(14)(iv), for items controlled by the Department of State, report the DDTC U.S. Munitions List (USML) category number of the articles, service or technical data being exported (22 CFR 121).</p>
26	<p>Place a "Y" in this column for ITAR controlled items designated Significant Military Equipment ("SME"). If the ITAR item is not SME, place an "N". Note: SME items on the USML are designated with an asterisk (*).</p> <p>FTR 15 CFR 30.6(b)(15)(ii) DDTC Significant Military Equipment (SME) indicator. A term used to designate articles on the USML (22 CFR 121) for which special export controls are warranted because of their capacity for substantial military utility or capability. See § 120.7 of the ITAR 22 CFR 120 through 130 for a definition of SME and § 121.1 for items designated as SME articles.</p>

BOX	Instruction
27	<p>EAR 15 CFR 758.1(g). For each item on the AES record, you must show the license authority (License number, License Exception, or No License Required (NLR)).</p> <p>FTR 15 CFR 30.6(b)(5): Export license number/CFR citation/KPC number. License number, permit number, citation, or authorization number assigned by the Department of Commerce, BIS; Department of State, DDTC; Department of the Treasury, OFAC; Department of Justice, DEA; Nuclear Regulatory Commission; or any other federal government agency.</p> <p>Note re: ACM#: 22 CFR 126.16 & 126.17 – Enter an Approved Community Member Number (ACM#) if your ITAR license exemption is pursuant to the Defense Trade Cooperation Treaties between the U.S. and the United Kingdom or Australia. The ACM# format for the United Kingdom must begin with UK followed by 9 numbers. The ACM# format for Australia must begin with DTT followed by 8 numbers.</p>
28	<p>FTR 15 CFR 30.6(a)(17): In general, the value to be reported in the EEI shall be the value of the goods at the U.S. port of export in U.S. dollars. The value shall be the selling price (or the cost, if the goods are not sold), plus inland or domestic freight, insurance, and other charges to the U.S. seaport, airport, or land border port of export. Cost of goods is the sum of expenses incurred in the USPPI's acquisition or production of the goods. Report the value to the nearest dollar, omit cents. Fractions of a dollar less than 50 cents should be ignored, and fractions of 50 cents or more should be rounded up to the next dollar.</p> <p>(i) Selling price. The selling price for goods exported pursuant to sale, and the value to be reported in the EEI, is the USPPI's price to the FPPI (the foreign buyer). Deduct from the selling price any unconditional discounts, but do not deduct discounts that are conditional upon a particular act or performance on the part of the foreign buyer. For goods shipped on consignment without a sale actually having been made at the time of export, the selling price to be reported in the EEI is the market value at the time of export at the U.S. port.</p>
29	<p>Required for all licensed transactions.</p> <p>Enter the license value for each item being shipped under the license here. FTR 15 CFR 30.6(b)(15): For shipments requiring an export license, report the value designated on the export license that corresponds to the commodity [for the line item / quantity] being exported.</p>
30	<p>For Department of State, DDTC licensed transactions: Enter your Directorate of Defense Trade Controls (DDTC) Registration Number (also referred to as the Registration Code.) FTR 15 CFR 30.6(b)(16)(i): The DDTC Registration Number assigned by the DDTC to persons who are required to register per part 122 of the ITAR (22 CFR parts 120 through 130), and have an authorization (license or exemption) from DDTC to export the article.</p> <p>Before applying for ITAR licenses or using an ITAR license exemption, exporters must first register with DDTC.) DDTC Registration Numbers must always be shown in exactly six characters. Examples of acceptable registration number formats: M-1234 or M12345.</p>

BOX	Instruction
31	FTR 15 CFR 30.6(b)(16)(iii): DDTC eligible party certification indicator. Certification by the U.S. exporter that the exporter is an eligible party to participate in defense trade. See 22 CFR 120.1(c). This certification is required only when an ITAR exemption is claimed.
32	<p>If your shipment includes Schedule B / HTS items valued "\$2500.00 or less" that you have not listed on the SLI and that do not require AES filing, please check this box.</p> <p>Note: Examples that require AES filing for all values: Licensed cargo, "600 Series" a-x, Used Self-Propelled Vehicles. (you must check the requirements for your commodity).</p>
33	<p>Check here if the USPPI authorizes the above named forwarder to act as its true and lawful agent for purposes of preparing and filing the Electronic Export Information ("EEI") in accordance with the laws and regulations of the United States. See 30.1(c) Definitions and 30.3(c)(1)(ii)(B).</p> <p>This is the minimum required language. You can add language as determined by your legal counsel.</p>
35	FTR 15 CFR 30.6 (a)(1)(iv) Contact information. Show contact's full name.
36	If opting to use an electronic signature the USPPI must type the full name of the signatory in UPPERCASE letters in the signature box. Box #41 will also need to be checked in order to validate the electronic signature.
37	Insert the email address of the authorized USPPI contact.
38	Enter your title.
39	Enter the date that you are signing this form.
40	FTR 15 CFR 30.6 (a)(1)(iv) Contact information. Show contact's telephone number.
41	This box must be checked off in order to validate an electronic signature. An electronic signature will not be accepted unless the signature has been validated.